



FEDERAL BUREAU OF INVESTIGATION

**ALPHONSE CAPONE**

**PART 5 OF 11**

**BUFILE: 62-39128**

SUBJECT Capone, Alphonse

FILE NUMBER 62-39128

SECTION NUMBER 2

SERIALS 41-80

TOTAL PAGES 99

PAGES RELEASED 79

PAGES WITHHELD 19(b)(7) 1

EXEMPTION(S) USED b7c b1D

DECODED COPY

FBI SAN FRANCISCO

8-24-36

1007

1ST

DIRECTOR

PHONE. JAMES LUCAS ASSAULTED ALPHONSE CAPONE ALCATRAZ

OFFICIAL NOTIFICATION THIS OFFICE BUT INQUIRY WARDEN JOHN W. FOLLOWS

PRESS REPORT DEVELOPED

STABBED CAPONE IN BACK AT ABOUT 9:45 AM WITH SINGLE STAB BY WARDEN

SHEARS OBTAINED BY [REDACTED] WHILE HAVING HAIR CUT AND ALLEGEDLY ONE

DELIVER ON PART [REDACTED] THAT CAPONE INFORMED ON HIS RESULTS IN [REDACTED]

CAPONE STRUCK

WITH FIST AND BOTH IMMEDIATELY SEPARATED BY GUARD. CAPONE'S INJURY

SUPERFICIAL FLESH WOUND AND EARLY RELEASE FROM PRISON HOSPITAL EXPECTED.

USA SAN FRANCISCO DECLINES PROSECUTION ON GROUND AFFAIR PRISON ADMINISTRATION  
MATTER. NO FURTHER ACTION BEING TAKEN THIS OFFICE

LISTERMAN

RECORDED

INDEXED

BUREAU OF INVESTIGATION  
JUN 25 1936

JUN 26 1936

CAMP

FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

JUNE 24 1936

TO: COMMUNICATIONS SECTION.

Transmit the following message to: SAN FRANCISCO OFFICE

ADVISE WHAT ACTION BEING TAKEN BY YOUR OFFICE WITH REFERENCE TO  
REPORTED ASSAULT UPON AL CAPORE AT ALCAZAR PENITENTIARY

RECEIVED

Mr. Nathan \_\_\_\_\_  
Mr. Tolson \_\_\_\_\_  
Mr. Boardman \_\_\_\_\_  
Chief Clerk \_\_\_\_\_  
Mr. Clegg \_\_\_\_\_  
Mr. Coffey \_\_\_\_\_  
Mr. Edwards \_\_\_\_\_  
Mr. Egan \_\_\_\_\_  
Mr. Foxworth \_\_\_\_\_  
Mr. Glavin \_\_\_\_\_  
Mr. Harbo \_\_\_\_\_  
Mr. Joseph \_\_\_\_\_  
Mr. Keith \_\_\_\_\_  
Mr. Lester \_\_\_\_\_  
Mr. Quinn \_\_\_\_\_  
Mr. Tamm \_\_\_\_\_  
Mr. Tracy \_\_\_\_\_  
Mr. Nease \_\_\_\_\_  
Mr. Gandy \_\_\_\_\_

RECORDED

62-39128-42	
FEDERAL BUREAU OF INVESTIGATION	
JUN 24 1936 P.M.	
U. S. DEPARTMENT OF JUSTICE	
FILE	

POSTAL

SENT VIA

Per

EFE:AF  
62-39128-43

July 3, 1936

RECORDED

[REDACTED] b7C  
Pinellas Park,  
Florida.

Dear Sir:

I wish to acknowledge receipt of your letter dated June 25, 1936, requesting that this Bureau furnish you information as to whether Al Capone served in either the Army or the Navy during the World War.

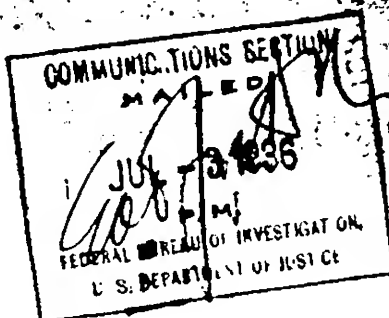
Inasmuch as all information contained in the files of this Bureau is, by legislative enactment, confidential and can be divulged only to duly authorized law enforcement officials, I am unable to furnish you the desired advice.

However, it is suggested that this information might be obtainable through an appropriate inquiry directed to Mr. William B. Searle, Chief, Civilian Personnel Division, War Department, Washington, D. C., and to the Honorable, the Secretary of the Navy, Washington, D. C.

Very truly yours,

John Edgar Hoover,  
Director.

cc-Jacksonville



PINEHOLAS PARK, FLA

June 25, 1936

Dept of Criminal Investigation  
Washington, D.C.

Gentlemen: - Will you please  
advise me as to whether  
or not Al Capone, now  
serving time at Alcatraz  
was a member of the military  
or naval  
forces of U.S. during the  
World War.

I thank you for your  
favor in <sup>answering</sup> this question.

Very truly yours b7C

RECORDED

INDEXED

7-3-36 Qcb ac got E7E

62-39128-43	
FEDERAL BUREAU OF INVESTIGATION	
JUL 29 1936	A.M.
U.S. DEPT. OF JUSTICE	
TWO ONE 16100 J. J. E.	

JUL 7 1936

Pinellas Park,  
Florida.

H. S. Department of Justice  
P. O. Drawer 567  
San Francisco, California  
June 30, 1936

**Dear Sir:**

With reference to the assault upon Alphonse Capone by James Lucas, in the Alcatraz Island Penitentiary, there is transmitted herewith, for the information of the Bureau, a newspaper clipping from the San Francisco "Call Bulletin", June 25, 1936, which contains a statement purportedly made by me.

This will advise that the San Francisco "Call Bulletin" is an afternoon newspaper, and is essentially a rewrite paper.

For the further information of the Bureau, this will advise that on June 25, 1936, I left the San Francisco Office early in the morning with other Agents, for monthly firearms practice at Ft. Funston Range, and did not return until the middle of the afternoon. The purported announcement on my part is obviously without foundation and entirely erroneous since I made no announcement to the press whatsoever in connection with this case. The morning newspapers, however, carried stories concerning the incident and quoted U. S. Attorney H. H. McPike in the same language. It is apparent, therefore, that the "Call Bulletin", which is the only San Francisco newspaper which referred to me, possibly wanted to vary its rewriting of the story which appeared in the morning newspapers.

Very truly yours,

W. L. Listerman  
W. L. LISTERMAN,  
Special Agent in Charge

WLL:MLA  
70-144  
~~Enc 1~~

1 ENCLL

RECORDED & INDEXED

JUL 28 1936

62-39128-44  
FILED JUL 6 1936  
U.S. DEPT. OF JUSTICE  
TAMM  
JOSEPH  
FILE

SAN FRANCISCO "CALL BULLETIN"  
San Francisco, California

JUNE 25, 1936

RE: JAMES C. LUCAS

## CAPONE STILL IN HOSPITAL

James Lucas, Texas badman, will spend an indefinite period in solitary confinement on Alcatraz Island and will also lose all of his good time credits for his assault on "Scarface" Al Capone.

Wayne Listerman, local chief of the Department of Justice, who announced the punishment to be meted to Lucas for stabbing the one-time Chicago gang chief, said Lucas definitely would not be tried for the assault.

Capone, meanwhile, will be confined to the prison hospital for at least a week.

Meanwhile an appeal is being planned by a San Francisco attorney for Mrs. Capone to Homer S. Cummings, attorney general, requesting Capone be transferred to another prison because his life is in constant danger.

Dr. George Hess, prison physician, announced Capone would be held to guard against possible infection, although the inch long wound is not regarded as serious.

62-39128-44

EAT:RLG

62-37428 - 44

July 17, 1936.

RECORDED

Special Agent in Charge,  
San Francisco, California.

Dear Sir:

With reference to your letter of June 30, 1936, transmitting a newspaper clipping appearing in the San Francisco "Call Bulletin" on June 25, 1936, in which you are quoted in connection with the assault upon Alphonse Capone at Alcatraz Island Penitentiary despite the fact that you had made no statement concerning this case, I believe you should, on each occasion where a newspaper reports you as having made a statement not issued by you, immediately contact both the city and managing editors of such a paper and protest against the use of your name in connection with statements not issued by you. I believe if this procedure is followed the newspapers will soon refrain from quoting you.

Very truly yours,

John Edgar Hoover,  
Director.

Mr. Tolson .....  
Mr. Clegg .....  
Mr. Glavin .....  
Mr. Ladd .....  
Mr. Nichols .....  
Mr. Rosen .....  
Mr. Tracy .....  
Mr. Carson .....  
Mr. Egan .....  
Mr. Gurnea .....  
Mr. Hendon .....  
Mr. Jones .....  
Mr. Quinn .....  
Mr. Nease .....  
Miss Gandy .....

COMMUNICATIONS SECTION  
MAILED

JUL 17 1936

P. M.

FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

10

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **SAN FRANCISCO, CALIFORNIA**

FILE NO. **70-144**

REPORT MADE AT <b>San Francisco, Calif.</b>	DATE WHEN MADE <b>7/1/36</b>	PERIOD FOR WHICH MADE <b>6/24/36</b>	REPORT MADE BY <b>W. R. RAMSEY - MLA</b>
TITLE <b>JAMES C. LUCAS</b>			CHARACTER OF CASE <b>ASSAULT ON GOVERNMENT RESERVATION</b>

**SYNOPSIS OF FACTS:**

James C. Lucas assaulted Alphonse Capone, Alcatraz Island Federal Penitentiary, 6/23/36; no official notification this Office but inquiry Warden J. A. Johnston, following press report of assault, developed

██████████ stabbed Capone in back at about 9:45 AM, 6/23/36, with single blade of barber shears obtained by ██████████ while having hair cut, and allegedly due to belief on part of ██████████ that Capone had "informed on him", resulting in ██████████

██████████ Capone struck with fist and both immediately separated by prison guard; Capone's injuries superficial flesh wound and early release from Prison Hospital effected. ██████████

U.S. Attorney, San Francisco, declines prosecution on ground the affair a prison administrative matter.

- 5 -

**DETAILS:**

Following the appearance of a story in the various San Francisco newspapers, to the effect that Alphonse Capone had allegedly been stabbed at Alcatraz Island Federal Penitentiary, by a fellow inmate, one James C. Lucas, this Office conducted the following investigation: -

APPROVED AND FORWARDED: <i>W. L. Listerman</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
COPIES OF THIS REPORT 3 - Bureau 1 - U. S. Attorney, San Francisco 3 - San Francisco		<b>62-39128-45</b>	<b>JUL 7 1936</b>
		<b>JUL 6 - A.M.</b>	<b>JUL 9 1936</b>
		<i>ONE</i> <i>TWO</i>	<b>11</b>

AT ALCATRAZ ISLAND FEDERAL PENITENTIARY:

Agent interviewed Warden Johnston, who advised that approximately at 9:45 A.M., on June 23, 1936, Alphonse Capone, Alcatraz Island Federal Penitentiary Number 85, who was assigned in the bath house of the Prison in the basement of the main cell block, was stabbed in the lower left portion of the back, with a single blade of a pair of barber shears, [REDACTED] 805

Warden Johnston related that Capone immediately turned and struck [REDACTED] in the face with his fist, and that the Prison guard then separated them, and Capone was immediately taken to the Prison Hospital for treatment, and [REDACTED] He stated that it was discovered that the tip end of the shears blade had been lodged in Capone's left thumb, it apparently having been broken off when Capone wrestled with and struck [REDACTED]

Warden Johnston advised that immediately following this assault, Dr. Jacob Greenberg, of the Prison Hospital, examined Capone and determined that the scissor blade had caused a superficial flesh wound in the lower left portion of the back, approximately 1/4 inch deep, and 1/2 inch long. He stated that Dr. Greenberg had treated this wound immediately, and had taken one stitch to close the wound. He stated that Dr. Greenberg had also treated the left thumb of Capone, and had extracted therefrom the steel tip of the scissor blade which had become lodged therein. Warden Johnston stated that shortly after the treatment by Dr. Greenberg, Capone had also been examined by Dr. George Hess, who is the Head Physician at the Prison, and that it was determined that Capone's wounds were not serious, and that he would be ready for release from the Prison Hospital in a short period.

As a back ground of the circumstances leading up to the assault, Warden Johnston advised that his personal investigation, in conjunction with Deputy Warden C. J. Shuttleworth, had disclosed the following: He stated that Capone had been assigned in various different jobs at the Prison since originally coming to Alcatraz Island; that for the last several months Capone had been regularly assigned in cleaning up the bath house in the basement underneath the main cell block. He stated that directly opposite to the bath house in the basement of the main cell block, across a corridor from the bath house, is the prison barber shop, and that adjacent to the barber shop, and also directly across the corridor from the bath house, is a clothing supply room. He stated that

this clothing supply room has a wooden counter, and that no prisoners are allowed behind this counter. He stated that in connection with cleaning up the bath house, the convicts assigned for work in the bath house frequently had occasion to go from the bath house to the clothing supply room across the corridor. He stated that one prison guard was always on duty to supervise the work going on in the bath house, the barber shop, and the clothing supply room, during the hours that convicts were working in these three places.

He stated that on the occasion of this assault, Junior Custodial Officer, or Guard, Thomas J. Sanders, was on duty.

Warden Johnston stated that every convict has his hair cut once each month on a regular schedule, and that each day a certain number of convicts visit the barber shop, with authorizations to have their hair cut, in accordance with this plan. He stated that a ticket of authorization is issued to the convict for this purpose, and that on June 23, 1936, [REDACTED] had been issued a ticket authorizing him to go to the barber shop for the purpose of having his hair cut. Warden Johnston advised that [REDACTED]

He stated that because of friendship which developed between [REDACTED] and [REDACTED]

Warden Johnston stated that the prison band also practiced on some occasions in the basement of the main prison cell house, and that due to this fact instruments used by the band players were sometimes left in the clothing supply room. He stated that on the morning of June 23, 1936, a mandolin had been on the counter in the clothing supply room and that his investigation reflected that Capone, in the usual course of his duties in the bath house, went from the bath house to the clothing supply room directly across the corridor, and had his back to the door of the clothing supply room, apparently examining for a moment the mandolin which was laying on the counter, when [REDACTED] who had been in the barber shop to get a hair cut, entered the clothing supply room, came up behind Capone and stabbed him in the lower part of the back with the single blade of a pair of barber shears or scissors.

He stated that the other blade of this pair of scissors had been found in the barber shop, and that [redacted] had apparently unscrewed the blades while in the barber shop. BOP

He stated that immediately following the stabbing, Capone whirled, wrestled with [redacted] and struck him with his fist and the mandolin; that they were immediately separated by Guard Thomas J. Sanders, who had entered the clothing supply room just prior to Capone's entrance, and who was behind the counter in the clothing supply room at the time of the assault. He stated that the Guard immediately got the attention of the stationary guard in the basement and that Capone was immediately taken to the Prison Hospital for treatment, and [redacted] placed in solitary confinement.

Warden Johnston stated that investigation conducted by him, both before and after the above assault, had failed to reveal any connection between [redacted] and Alphonse Capone, or any antagonism existing between them, or any reason for bad feeling between them. He advised that he, personally, had interviewed Capone following the above assault, and that Capone had stated that some two months ago [redacted] had "boned" him, Capone, for some money which he, Capone, had refused to make available for Lucas. Warden Johnston advised that Deputy Warden Shuttleworth had interviewed [redacted] immediately following the assault, and that [redacted]

Warden Johnston stated that as far as he knew, or as far as any other official of the prison knew, Capone had positively not informed on [redacted] and [redacted]

Warden Johnston exhibited to Agent the scissor blade used by [redacted] in the assault, as well as the mate to the scissor blade, comprising the full pair of shears, which, as noted above, Warden Johnston advised had later been found in the prison barber shop. It was noted that the tip end of the single blade used in the assault was broken off about 1/8 inch from the end or point; that the blade of these shears was approximately 4 inches long and 1/2 inch wide. Warden Johnston retained these shears in his custody.

Warden Johnston stated that within fifteen minutes following the above described assault, he reported the entire matter to Sanford Bates, Director of the Bureau of Prisons, Washington, D.C.

XXXXXX  
XXXXXX  
XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Document(s) originating with the following government agency(ies) \_\_\_\_\_, was/were forwarded to them for direct response to you.

\_\_\_\_\_ Page(s) referred for consultation to the following government agency(ies); \_\_\_\_\_ as the information originated with them. You will be advised of availability upon return of the material to the FBI.

1 Page(s) withheld for the following reason(s):

per BOP

☐ For your information: \_\_\_\_\_

☐ The following number is to be used for reference regarding these pages:

62-39128-45 ps

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XXXXXX  
XXXXXXXXXXXXXXXXXXXXXXXXXX  
X DELETED PAGE(S) X  
X NO DUPLICATION FEE X  
X FOR THIS PAGE X  
XXXXXXXXXXXXXXXXXXXX

BOP

[REDACTED]

Prior to conducting further investigation in this matter, Special Agent in Charge W. L. Listerman and the writer discussed the above facts with U. S. Attorney H. H. McPike of San Francisco, who stated that inasmuch as it appeared that this affair constituted a simple assault and any prosecution in Federal District Court would involve bringing both Lucas, as well as Capone, from the Island to San Francisco for trial, where a chance to escape would be eminent, he believed the matter one which should be handled by the Prison Administrative Officers, and that he would decline prosecution.

The substance of the above was teletyped to the Bureau on June 24, 1936.

In view of the decision of the U. S. Attorney, no further action is being taken by this office, and the case is being -

C L O S E D

General Bureau of Investigation

U. S. Department of Justice

Washington Field Office, Room 4244,  
Washington, D. C.

August 17, 1936.

Director,  
Federal Bureau of Investigation,  
Washington, D. C.

Re: ALPHONSE CAPONE with aliases, et al  
Conspiracy to Receive and Send Contra-  
band Out of the U. S. Penitentiary,  
Atlanta, Georgia

Dear Sir:

There is being transmitted herewith a photostatic copy of a letter addressed to Real Detective Story Magazine, 444 Madison Avenue, New York City, dated at Baltimore, Maryland, April 29, 1935, signed F. Barrett; and also a letter addressed to Mr. R. W. Mickam, at the same address, dated at Baltimore, Maryland, May 10, 1935, signed F. Barrett.

It is desired that the Technical Laboratory make a comparison of the typewriting appearing on the above mentioned copies with the specimen sheets herewith submitted which are explained below. In the event it becomes necessary to compare the known typewriting appearing on the specimen sheets with the original letters referred to above, the same can be obtained from the files of the Bureau.

The following specimens taken from Royal typewriter X-1255143, the property of Raymond Guinan, 217 West Franklin Street, Baltimore, Maryland, are being transmitted herewith:

- Exhibit A: Letter to Real Detective Story Magazine, 444 Madison Avenue, New York City, dated at Baltimore, Maryland, April 29, 1935, signed F. Barrett, which specimen was taken August 4, 1936.
- Exhibit B: Letter addressed to Mr. R. W. Mickam, same address, dated at Baltimore, Maryland, May 10, 1935, signed F. Barrett, specimen taken August 4, 1936.
- Exhibit C: Separate characters exclusive of the alphabet, specimen taken August 4, 1936.
- Exhibit D: Separate capital letters, specimen taken August 4, 1936.
- Exhibit E: Separate small letters, specimen taken August 4, 1936.

RECORDED

INDEXED

AUG 22 1936

1 ENCL

62-39128-4
FEDERAL BUREAU OF INVESTIGATION
AUG 18 1936
U. S. DEPARTMENT OF JUSTICE

Sp. 11  
Just. 11  
It is requested that after the photostatic copies mentioned herein are no longer necessary for purposes of comparison they be returned to the Washington Field Office.

Very truly yours,

J. M. Keith

J. M. KEITH, <sup>EKT</sup>  
Special Agent in Charge.

AGB:CC  
62-2696  
Enc.

FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

# 11536

Laboratory Report

Case: Re: ALPHONSE CAPONE with aliases, et al  
Conspiracy to Receive and Send Contra-  
band Out of the U. S. Penitentiary,  
Atlanta, Georgia.

Number: 62-39128-46

Specimens:

- 62-39128-46 A. Photostatic copy of letter dated May 10, 1935, beginning:  
"I trust you--". (questioned)  
" B. Photostatic copy of letter dated April 29, 1935, beginning:  
Friday noon, April--". (questioned)  
" C. Six pages of typing taken from Royal typewriter X-1255143,  
designated as "Exhibits - A, B, (two pages of C), D & E".

Examination requested by: Wash. F. O.

Date received: 8-20-36 jwp 12:00 Noon.

Examination requested: Document

Result of examination:

Examination by: Pickering

(Checked Bur. file #62-39128 for originals of photostats - not there)

RETURN ~~EXHIBITS~~ PHOTOSTATS.

*Frank*  
*Royal*  
X-1255143  
↑ ↑ ↑ ↑ ↑  
r<sup>x</sup> j i w  
↑ ↑ ↑ ↑ ↑  
w ← I 1 ← d  
← I 1 → ← d  
→ ev ← I  
← S p  
← S p

*Identical C*

PF:BR  
62-39128

August 19, 1936

Special Agent in Charge,  
Washington, D. C.

RE: ALFREDSE CAPONE, with aliases, et al;  
CONSPIRACY TO RECEIVE AND SEND  
CONTRABAND OUT OF THE UNITED STATES  
PENITENTIARY, ATLANTA, GEORGIA.

Dear Sir:

The Bureau file in the above entitled case indicates that this case is not receiving prompt investigative attention in your district.

This matter should be placed in line for early investigative attention and you should submit a report to the Bureau at the earliest possible date.

Very truly yours,

*J. E. Hoover*

John Edgar Hoover,  
Director.

P.W.

*R*

RECORDED

62-39128-47	
FEDERAL BUREAU OF INVESTIGATION	
AUG 19 1936	P.M.
U.S. DEPT. OF JUSTICE	

*277*

August 20, 1936

Mr. Hoover:

Perhaps this is a little irregular, but I wonder if it would be possible for you to tell me if there ever was a henchman of Al Capone whose name is [REDACTED] b7c

This was taken up in a discussion we were having here, and I claimed he was, and some of the others never heard of him, and others did not know. I hope you will answer this either "Yes" or "No", just to clear this up in my mind.

Thank you.

RECORDED

&

INDEXED  
Very truly yours,

[REDACTED]

62-39128-48  
FEDERAL BUREAU OF INVESTIGATION

AUG 21 1936

P. M.

AUG 23 1936

TWO P.W.  
ONE

SFP:ERG

August 29, 1936

RECORDED

62-39180

49

Special Agent in Charge,  
Washington, D. C.

Re: Alphonse Depone with aliases, et al.;  
Conspiracy to Receive and Send Contraband  
Out of the United States Penitentiary,  
Atlanta, Georgia.

Dear Sir:

There is transmitted herewith the laboratory report  
covering the examination of specimens submitted by your office  
in connection with the above entitled matter and received in  
the Bureau August 20, 1936.

Very truly yours,

J. E. Hoover

John Edgar Hoover,  
Director.

Enclosure:

#1046143

COMMUNICATIONS SECTION

AUG 31 1936

KFP:XRO

FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

11534

Laboratory Report

Case: <sup>0</sup>Alphonse Capone with aliases, et al.,  
Conspiracy to Receive and Send Contraband  
Out of the United States Penitentiary,  
Atlanta, Georgia.  
Number: 62-39128-48  
Specimens: 62-39128-48:  
A. Photostatic copy of letter dated May 10, 1936, beginning "I trust you—"  
B. Photostatic copy of letter dated April 29, 1936, beginning "Friday noon,  
April—"  
C. Six pages of typing taken from Royal typewriter X-1235143, designated as  
"Exhibits - A, B, (two pages of C), D and E".

Examination requested by: Washington, Field Office

Date received: 8-30-36

Examination requested: Document

Result of examination: Examination by: Pickering

It is the opinion of the examiner that the originals of the photo-  
static copies of the letters dated May 10, 1936 and April 29, 1936 were  
written with the same typewriter as that used for the six pages of typing  
submitted for comparison.

1-Bureau  
1-Washington, D. C.  
1-Laboratory

RECORDED  
&  
INDEXED

62-39128-49  
FEDERAL BUREAU OF INVESTIGATION  
SEP 1 1936 P.M.

SEP 4 1936

NO PREVIOUS RECORD AS LISTED S. P. L.

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **WASHINGTON, D. C.**

RECEIVED

REPORT MADE AT <b>Washington, D. C.</b>	DATE WHEN MADE <b>9/8/36</b>	PERIOD FOR WHICH MADE <b>8/27/36</b>	REPORT MADE BY <b>A. G. BROWN</b>
TITLE <b>ALPHONSE CAPONE, w.a., et al</b>			CHARACTER OF CASE <b>CONSPIRACY TO RECEIPT AND SELL CONTRABAND OUT OF THE PENITENTIARY, ATLANTA, GA</b>

**SYNOPSIS OF FACTS:**

Royal Typewriter No. **X1855143** located on premises of Raymond Guinan, 217 West Franklin Street, Baltimore and specimens taken therefrom and forwarded to the Bureau Laboratory. Raymond Guinan, brother of subject Frank, purchased Royal typewriter for his brother Frank to be used in public stenographic office set up for Frank. Subject Guinan worked at job of public stenographer shortly after release from penitentiary until about one month prior to re-arrest. Partner of subject Guinan, namely Carl Johnson believed by brother to be implicated in instant matter.

SEP 14 1936 PM

**REFERENCE:**

Bureau Letter dated June 13, 1936.

**DETAILS:**

AT BALTIMORE, MARYLAND

PREVIOUS RECORD OF **IN 12-3972**

Agent contacted Mr. Raymond Guinan, who operates the USSCO printing establishment at 217 West Franklin Street and was discreetly asked the name and type of the only typewriter which he has in the office. He advised that this was a Royal, equipped with elite type. Agent thereupon stated his mission and asked for specimens of this machine. The specimens were taken and have been forwarded to the Bureau under letter dated August 17, 1936. This typewriter bears No. **X-1855143** and was purchased from the Typewriter Sales Company, 104 West Fayette Street, about February, 1935, for the particular use of subject Frank Guinan. Mr. Raymond Guinan was very cooperative and stated that he had no desire to shield his brother, or help him get out of the present mix up, as he had been of assistance to him before and his confidence in Frank had been betrayed. He stated further that upon his brother's

APPROVED AND FORWARDED <i>F. M. Keller</i> SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
COPIES OF THIS REPORT 3 - Bureau 2 - Nashville 2 - Washington Field COPIES DESTROYED 9 8 OCT - 6 1964	<b>62-39728-50</b>	<b>SEP 18 1936</b>
	<div style="text-align: center;"> <i>SEP 10 PM</i>  <i>[Signature]</i> </div>	

release from the penitentiary, he attempted to get him started in the business world and because he was an expert stenographer, he conceived the idea of setting up a public stenographic office. He therefore purchased the instant typewriter for the use of his brother and advertised his office as being a place where a public stenographer could be procured. He exhibited blotters advertising this fact, which were used to further the business started by his brother Frank. Frank Guinan did some public stenographic work immediately after his release, until about one month before he got in trouble in Kingsport, Tennessee, at which time he was arrested for violation of the parole. Frank Guinan worked at this job quite steadily and spent some nights at the office typing. The brother, Raymond, did not know the nature of the material which he was transcribing, or writing and did not inquire what it was about. Raymond Guinan stated frankly that he did not doubt but what his brother may have written material which was not of a bona fide or legitimate nature, because according to him, Frank was a very clever individual who did not care to work. The main difficulty with Frank Guinan, according to his brother was the matter of his associates, who always got his brother into trouble and got off without any penalty and his brother usually took the rap. In this connection, he stated that Carl Johnson had been a menace to his brother's freedom for many years. Carl Johnson apparently had some mysterious power over Frank Guinan and whenever in Johnson's company, Guinan got into difficulty. Johnson had hung around the printing establishment to some extent and it was Raymond Guinan's belief that he may have been in on the story written about the Atlanta Penitentiary. Raymond Guinan ordered Carl Johnson out of the store on one occasion while Frank was employed there, because he felt that Johnson would eventually get his brother into trouble. Johnson left and did not reappear.

Raymond Guinan further advised that Johnson was arrested with his brother four or five years ago in Sioux City, Iowa, whereupon Johnson got out of the difficulty and his brother was punished. Raymond Guinan knew very little about Johnson, other than he was a friend or relative of the Sheriff at Kingsport. He could not give any further pertinent information concerning Carl Johnson and was of the opinion that the Sheriff at Kingsport would have sufficient information to identify Carl Johnson. It is possible that Carl Johnson is none other than Carl Crawford who was arrested by Deputy Sheriff George Pierce on August 18, 1935.

UNDEVELOPED LEAD: THE NASHVILLE OFFICE at KINGSFORT, TENNESSEE

will contact the Sheriff's office and determine the identity of Carl Johnson, who is alleged to be a relative of the Sheriff, or to be known to that officer. This lead should be developed toward the view of obtaining a photograph of Carl Johnson, who is an alleged friend of Frank Guinan, so that same may be exhibited to members on the staff of the Real Detective Story Magazine.

THE WASHINGTON FIELD OFFICE at BALTIMORE, MARYLAND

XXXXXX  
XXXXXX  
XXXXXXFEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET

1 Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☒ Deleted under exemption(s) b7C, b7D with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Document(s) originating with the following government agency(ies) \_\_\_\_\_, was/were forwarded to them for direct response to you.

\_\_\_\_\_ Page(s) referred for consultation to the following government agency(ies); \_\_\_\_\_ as the information originated with them. You will be advised of availability upon return of the material to the FBI.

\_\_\_\_\_ Page(s) withheld for the following reason(s):  
\_\_\_\_\_  
\_\_\_\_\_

- ☐ For your information: \_\_\_\_\_  
\_\_\_\_\_
- ☐ The following number is to be used for reference regarding these pages: \_\_\_\_\_  
\_\_\_\_\_

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X FOR THIS PAGE X  
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26

Washington Field Office, Room 4244,  
Washington, D. C.

September 23, 1936.

Special Agent in Charge,  
Atlanta, Georgia.

Re: ALFRED C. GARDNER, S. A. of the  
COMPASS TO EXPOSURE AND NEWS  
CONTRABAND OUT OF THE U. S.  
PENITENTIARY, ATLANTA, GA.

OCT 2 1936

Dear Sir:

RECORDED & INDEXED

62-39128-51

Reference is made to report of Special Agent W. M. Tots, dated at Atlanta, Georgia, February 9, 1936, in the above entitled matter, setting forth that subject Frank Guinan, Atlanta Penitentiary No. 4244, had knowledge of the submission to the Real Detective Stories of certain documents.

Further investigation conducted at Baltimore, Maryland, which is reflected in the report of Special Agent A. G. Berens, dated Washington, D. C., September 8, 1936, a copy of which is transmitted herewith, revealed that a typewriter owned by a brother of this suspect, and probably used in preparing the documents which were submitted to the magazine company. There is also being transmitted herewith a copy of the Laboratory report dated August 29, 1936, relative to the findings of the Laboratory concerning the use of this typewriter. It may be stated for your information that two photostatic copies of letters addressed to the Real Detective Magazine, 444 Madison Avenue, New York City, dated at Baltimore, April 29, 1935 and May 10, 1935, signed by F. Barrett, were transmitted, together with specimens taken from the typewriter located in the office of suspect Guinan's brother. The substance of these letters was to the effect that the writer, F. Barrett, desired to interview officers of the Real Detective Story Magazine for the purpose of selling contraband material to that magazine.

It is requested that suspect Guinan be rigorously questioned concerning his knowledge of this matter. It is believed that with the additional information contained in reference report, together with the fact that the typewriter was found to be that used in submitting the letters to the magazine, some pertinent information might be obtained from Guinan.

It is further requested that all efforts be made to positively identify one Carl Johnson, who is an alleged "buddy" of Frank Guinan. It is believed that Carl Johnson may be identical with Carl Crawford about whom information has already been obtained, which is apparently contained in your files. In the event Carl Crawford is not identical with Carl Johnson,



all information as to the latter should be obtained from him in an effort to locate him. It is suggested that this be given prompt attention.

Very truly yours,

J. M. KEITH,  
Special Agent in Charge.

AMS:MM  
62-2006  
Encls.

cc - Bureau

RECORDED

FILED SECTION

21b 59

2 20 PM 1968

DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 20535

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **NASHVILLE, TENN.**

FILE NO.

REPORT MADE AT <b>NASHVILLE, TENN.</b>	DATE WHEN MADE <b>10/1/36</b>	PERIOD FOR WHICH MADE <b>9/21, 22/1936</b>	REPORT MADE BY <b>H. K. JOHNSON</b>
TITLE <b>ALPHONSE CAPORE, was., et al.</b>			CHARACTER OF CASE <b>CONSPIRACY TO RECKLESSLY SEND CONTRABAND OUT OF THE U. S. FROM CERTAIN, ATLANTA, GEORGIA</b>

**SYNOPSIS OF FACTS:**

Carl Johnson, unknown to Sheriff, James Newland, Blountville, Tenn., Sullivan County, Tennessee. One Carl Johnson, Bristol, Tennessee, arrested 8/22/36 for drunkenness and jailed at Blountville, Tenn. Inquiry at Bristol, Tenn., negative as to Johnson's whereabouts, although rumored to have recently gone to Cincinnati, Ohio. Criminal record F.B.I. of Carl Crawford, arrested with Frank Guinan, at Kingsport, Tennessee, about 8/15/35, obtained from Kingsport P.D. Crawford and Guinan recently reported to be together near Kingsport, and rumored to have been involved in recent Church Hill, Tennessee, bank robbery.

**REFERENCE:**

Report of Special Agent A. G. Barons, Washington Field, dated September 8, 1936.

APPROVED AND FORWARDED: <i>[Signature: W. Stapleton]</i>	DO NOT WRITE IN THESE SPACES
COPIES OF THIS REPORT 3 Bureau 2 Cincinnati    2 Washington Field 2 New York 1 Nashville file 91-57 3 Nashville COPIES DESTROYED 9 5 OCT 6 11564	62-39778-52 OCT 6 1936 OCT 8 1936 OCT 5 1936 <i>[Signature]</i>

DETAILS:

AT BLOUNTVILLE, TENNESSEE:

Sheriff James Newland informed Agent that he is <sup>not</sup> acquainted with Carl Johnson, and knew of no person by that name. Sheriff Newland stated that he had been sheriff at Blountville, Tennessee, covering Kingsport in Sullivan County, Tennessee, for more than two years. He further stated that one Carl Crawford, arrested in the latter part of August, 1935, was believed to be serving time in the Brushy Mountain Penitentiary, at Petros, Tennessee.

Deputy Sheriff Samuel Feathers, Blountville Jail, informed Agent that one Carl Johnson was arrested on August 22, 1936, for drunkenness, and was discharged shortly thereafter. Feathers believed that Carl Crawford's home was in Bristol, Tennessee.

Agent attempted several times to locate Ex-Deputy Sheriff George Pierce, stated in the report of reference to have arrested Frank Guinan and Carl Crawford, in August, 1935, at Kingsport, Tennessee. It was learned that Pierce's home was three or four miles from Kingsport, Tennessee, on the Fall Branch Road, near Eastman's Factory, but that he was not at home.

AT BRISTOL, TENNESSEE:

Sheriff D. L. Heaberlin informed Agent that he had known Carl Johnson for some years; that he never knew him to leave the State of Tennessee; and that he had been arrested for drunkenness several times. Both Heaberlin and Officer G. R. Moore gave the following description of Carl Johnson:

Marital status	Single
Age	25 years
Height	5' 10"
Build	Slender
Complexion	Red
Hair	Light in color
Birthplace	Bristol, Tennessee.

Neither Heaberlin nor Moore knew the address of Carl Johnson, but stated that they knew people who might know his whereabouts. In this connection, Officer Moore, upon investigation, learned that one Fannie Barr, about forty years of age, and mother of Carl Johnson, was presently reported to be living [redacted] at Cincinnati, Ohio. He further stated that Carl Johnson was reported, on release from the Blountville Jail, to have gone, on August 23, 1936, to Cincinnati, Ohio, with an unknown red-faced fellow, the probable purpose of Carl Johnson's trip to Cincinnati being to see his mother.

Heaberlin and Moore promised to make further inquiries to ascertain the address of Carl Johnson, and to notify the Nashville Bureau Office immediately of any facts obtained in that regard.

AT KINGSFORD, TENNESSEE:

From Police Officer Charles Linebach, Agent learned that Ex-Deputy Sheriff George Pierce lived on the Horse Creek Road, from Kingsford, toward Jonesboro, Tennessee, and probably knows where Carl Crawford and Frank Guinan are at the present, since the latter are reported to have sold a Chevrolet coupe recently at Jonesboro, Tennessee, at which time they had possession of another car. Pierce was also believed by Linebach to have a photograph of Crawford. It is the theory of law enforcement officers stated Linebach, in and around Kingsford, that Frank Guinan and Carl Crawford recently pulled the robbery of the Church Hill Branch of the Citizens' Union Bank of Rogersville, Incorporated, Church Hill, Tennessee. In this regard, Officer Linebach stated that a hat had been found by a woman with Frank Guinan's name on the inner band, such apparently having fallen from a car described as similar to that used by the robbers of the Church Hill Bank. Officer Linebach stated further that Ex-Deputy Sheriff George - Pierce, and U. S. Deputy Marshal David Scruggs, Knoxville, Tennessee, would probably have some information concerning these facts indicating the

connection of Frank Gaiman and Carl Crawford with the bank robbery.

A copy of this report is being indicated for the Nashville Bureau Office File No. 91-57, which is the file of the Church Hill Bank Robbery.

Officer Linebach stated further that he had no photograph of Carl Crawford and Carl Johnson in the files of the Bureau of Identification maintained by him at the Kingsport, Tennessee, Police Department.

The following criminal record of Carl Crawford was obtained from Officer Linebach and Bureau letter dated July 8, 1935, F.B.I. Number 715983.

PD, Sioux City, Iowa; Carl Crawford, No. 5818; 5/26/32; Inv., Auto larc.; 6/1/32, released.

PD, Kingsport, Tenn.; Carl Crawford, # \_\_\_\_\_; 10/17/33; Carrying arms; \$30.00 fine, 30 days jail.

Wanted as Carl Crawford (Poss. Ident.) Raising and cashing money order at Roanoke, Va. Notify U. S. P. S. Dept., Washington, D. C., for P. O. Inspector, Roanoke, Va. (Inf. Rec. 8/25/33 and 9/9/33) (Indicted Roanoke 1/3/33; charge, raising and entering money orders) (Escaped from Blountville, Tenn., Jail 3/3/33.) No longer wanted. 1/2/34, sentenced 18 months, U.S.B.P., Chillicothe, Ohio.

U.S.I.R., Chillicothe, Ohio; Carl Crawford, # 9296-C; 1/6/34; counterfeiting; 18 months, 5/15/35, released.

Chesapeake and Ohio Ry. Co., Huntington, W. Va.; Walter Ford, # 364; 5/17/35; trespassing; 17 days in Kanawha County Jail, Kanawha, W. Virginia.

Southern Ry. P. D., Alexandria, Virginia; Carl William Clark, # 241; 6/29/35; released.

PD, Marysville, Tenn., 1923; liquor; 1 year State Ref., Nashville, Tenn.; served full time and released.

SO, Blountville, Tenn.; 1929; liquor; fined \$150 and 30 days.

Blountville County Work House, received, served full time and released.

SO, Kingsport, Tenn.; 1932; C.G.W.; 30 days Blountville County W. H.; served full time and released.

FD, Blountville, Tenn.; 1933; vagrancy; 30 days in H. of C.; served full time and released.

Arrested 10/17/33; carrying arms; \$50 fine and 30 days.

Wanted: As Carl Crawford; 3/15/35; given credit det. 6/29/35; parole violator, warrant issued trespassing, train riding, and leaving limits without permission. Notify U. S. Bd. of Parole. Notified 9/7/35.

Linebach had no record of

Carl Johnson.

#### UNDEVELOPED LEADS:

##### THE CINCINNATI OFFICE:

At Chillicothe, Ohio, from the United States Industrial Reformatory, will obtain a photograph of Carl Crawford, referred to in this report, number 9296-C.

Each photograph should be forwarded to the New York Office, for exhibition to Mr. E. W. Mickam, Executive Editor, "REAL DETECTIVE STORIES," 444 Madison Avenue, New York City. The New York Office has previously attempted to ascertain from Mr. Mickam the identity of one F. Barrett, who left manuscripts with Mr. Mickam, for publication, said manuscripts containing apparent official records of the United States Penitentiary at Atlanta, Georgia.

##### THE NEW YORK OFFICE:

Is requested, upon the receipt of photograph from the Cincinnati Office, exhibit same to

Mr. Mickus, above, will ascertain whether Carl Crawford is identical with F. Barrett, who left manuscripts apparently containing official records of the U. S. Federal Penitentiary, Atlanta, Georgia, with Mr. Mickus for publication.

THE NASHVILLE OFFICE:

At Kingsport, Tennessee, on the Horse Creek Pike, between Kingsport and Jonesboro, Tennessee, near Eastmon's Factory, will locate and interview Ex-Deputy Sheriff George Pierce, reported to have a photograph of Carl Crawford. Such photograph, if available, should be obtained for presentment to the New York Office, in order that they may carry out the above lead for that office.

Ex-Deputy Sheriff Pierce may be able to furnish information concerning the identities and locations of Carl Crawford and Carl Johnson. It should be borne in mind that these are probably two different individuals; that they may be friends of Frank Guinan; and either of them may have been the F. Barrett, previously referred to, as the person who left manuscripts containing official records of the U. S. Penitentiary, Atlanta, Georgia, with Mr. Micham, for publication.

- P E N D I N G -

JDS:NE  
62-6582

607 U. S. Court House  
Foley Square  
New York, N. Y.

RECEIVED

Special Agent in Charge  
Washington, D. C.

RE: ALPHONSE CARPONE and others, et al  
CONSPIRACY TO RECRUIT AND RAISE MONEY  
HAND OUT OF THE U. S. PENITENTIARY,  
ATLANTA, GEORGIA

Dear Sir:

The New York Office is in receipt of the report of Special Agent E. K. Johnson, Nashville, Tenn., dated October 1, 1936 wherein a lead is set out for the Cincinnati Office to obtain a photograph of Carl Crawford at the U. S. Industrial Reformatory, Chillicothe, Ohio, Crawford's number there being 2224-B; and to forward said photograph to the New York Office for the purpose of exhibiting the same to Mr. E. W. Mickan, executive editor of "Real Detective Stories," to ascertain whether Crawford is identical with F. Barrett who left manuscripts, apparently containing official records of the U. S. Penitentiary at Atlanta, Ga., with Mr. Mickan for publication.

Please be advised that under date of February 12, 1936, the Cincinnati Office forwarded to the New York Office a photograph of Carl Crawford, obtained at the U. S. Industrial Reformatory at Chillicothe, Ohio. This photograph was exhibited to Mr. E. W. Mickan and other employees in his office who failed to identify Crawford as being F. Barrett.

Accordingly, it will not be necessary for the Cincinnati Office to again obtain the photograph of Carl Crawford, nor will the lead set out in Agent Johnson's report be duplicated by this office.

RECORDED

INDEXED

OCT 10 1936

cc-Bureau  
Nashville  
Cincinnati

62-39128-53	
Very truly yours,	
A. WHITLEY,	
Special Agent in Charge	
FILE	

30

WMB:SL  
62-18

501 Healey Building  
Atlanta, Georgia  
October 12, 1936

Special Agent in Charge  
Washington, D. C.

RE: ALFRED J. CAPORE, v. a., et al  
CONSPIRACY TO RECRUIT AND KIDNAP  
CONTRABAND OUT OF THE U. S.  
PENITENTIARY, ATLANTA, GA.

Dear Sir:

Reference is made to your letter dated at Washington, September 23, 1936 in the above captioned case requesting that Frank Guinan, Atlanta Penitentiary, #42807, be re-interviewed regarding the manuscript which was submitted to the Real Detective Stories for publication.

Guinan, who assumed a hostile and smart-aleck attitude when re-interviewed regarding this matter by an Agent of the Atlanta Office, stated that he knows absolutely nothing regarding the manuscript which was presented to the Real Detective Stories for publication and that if he did know anything regarding this manuscript, he would most certainly not discuss the matter under any consideration. Guinan advised that he can offer no explanation whatsoever regarding the fact that letters addressed to the Real Detective Magazine of New York City regarding instant manuscript were typed upon a typewriter subsequently located in the office of Guinan's brother.

Regarding one Carl Johnson, Guinan stated that he has never known anyone by this name; that apparently the party referred to by the name of Carl Johnson is in fact Carl Crawford who was arrested with informant in Sioux City, Iowa for attempting to defraud an inn keeper. Guinan stated that it was Crawford who was ordered out of the home of Guinan's brother in Baltimore and that Guinan is at a loss to understand why his brother has referred to Crawford by the name Carl Johnson. According to Guinan, Crawford once remarked that he was a nephew to the Chief of Police of Kingsport, Tennessee. Guinan's remarks seemed to establish that Carl Crawford and Carl Johnson are identical.

Because of his attitude, it does not appear probable that further interviews with Guinan will result in any additional information regarding this case.

RECORDED & INDEXED

Very truly yours,

E. E. CONROY

Special Agent in Charge

cc Bureau ✓

OCT 16 1936

62-39128-54  
FEDERAL BUREAU OF INVESTIGATION

OCT 12 1936

CHB:REL

BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

NOV 29 1936

To: COMMUNICATIONS SECTION.

Transmit the following message to:

NASHVILLE

ALPHONSE CAPONE RE ITAL CONSPIRACY TO SEC REC'D FOR FILE  
SIXTYTWO DASH FORTYTWO DISCONTINUE INVESTIGATION AT HAS BEEN ESTABLISHED  
THAT EARL JOHNSON IS CARL CRANFORD

CC-Bureau.

RECORDED

INDEXED

NOV 3 1936

62-39128-55	
FEDERAL BUREAU OF INVESTIGATION	
OCT 30 1936 A.M.	
U.S. DEPARTMENT OF JUSTICE	
<i>[Signature]</i>	FILE

SENT VIA

M

Per

**Federal Bureau of Investigation**

**United States Department of Justice**

**Washington Field Office, Room 4244,  
Washington, D. C.  
November 10, 1936**

Director,  
Federal Bureau of Investigation,  
Washington, D. C.

Re: ALPHONSE CAPONE, w. a., et al  
CONSPIRACY TO RECEIVE AND SEND  
CONTRABAND OUT OF THE U. S. PENI-  
TENTIARY, ATLANTA, GA.

Dear Sir:

Reference is made to Bureau letter dated June 13, 1936, (file #62-39128), to the report of Special Agent A. G. Barans, dated at Washington, D. C., September 8, 1936, and to the letter from the Atlanta Office, dated October 12, 1936, in the above entitled case.

A recent interview with Mr. Raymond Guinan, 217 West Franklin Street, Baltimore, Md., has established that Carl Crawford is identical with Carl Johnson to whom Guinan had previously referred as the person who has been associating with Frank Guinan and who is thought to be the person who may have approached Mr. Hickam, executive director of the Real Detective Story Magazine. Mr. Raymond Guinan advised that he knew Carl Crawford as Carl Johnson and that he now recalls that these two individuals are identical.

In view of this information, the Nashville Office was advised by teletype to discontinue further investigation. The leads which the Nashville Office had set out in report of Special Agent H. K. Johnson, dated at Nashville, October 1, 1936, were terminated by the letter dated October 7, 1936, addressed to this office by the New York Office, copies of which were indicated for Nashville and Cincinnati.

In view of the information contained in the Atlanta Office letter to this office dated October 12, 1936, advising that Frank Guinan would not admit the authorship of the letter to the Real Detective Story Magazine, and due to the fact that the Technical Laboratory has definitely established that these letters were written on a typewriter which was used by Frank Guinan, it appears that no further leads which might develop pertinent information in connection

RECORDED  
&  
INDEXED

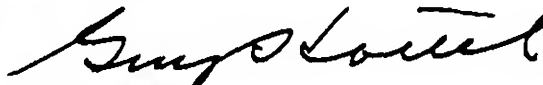
62-39128-56	
FEDERAL BUREAU OF INVESTIGATION	
NOV 12 1936 A. M.	
U. S. DEPARTMENT OF JUSTICE	
ONE	FILE

COPIES DESTROYED

9 8 001-0 11204

with this case remain outstanding. Therefore, permission of the Bureau is requested to close this case.

Very truly yours,



GUY HOTTEL,  
Special Agent in Charge.

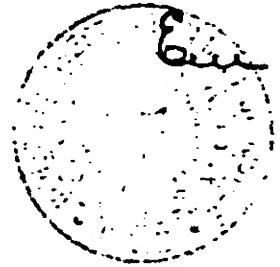
AGB:MC  
62-2696

RECEIVED

**Federal Bureau of Investigation**

**U. S. Department of Justice**

Post Office Box 4907  
Jacksonville, Florida  
November 20, 1936.



NOV 21 1936

Director  
Federal Bureau of Investigation  
Washington, D. C.

Dear Sir:

There have recently appeared in newspapers at Miami reports relative to the proposed sale of property owned by the Al Capone interests at Miami Beach to satisfy a tax lien. However, prior to such sale newspaper accounts related that the lien had been paid by some one whose name was not disclosed by Treasury officials.

Special Agent Royal J. Untreiner advises me that he was recently informed by [REDACTED] b7D [REDACTED] Western Union Telegraph Company, Miami, Florida, that one [REDACTED] b7C [REDACTED] Miami, informed [REDACTED] that [REDACTED] is the individual who paid the tax lien.

The connections of [REDACTED] are not known, but inquiries to this end will be made if the Bureau so desires.

Very truly yours,

*R. B. Nathan*

R. B. Nathan  
Special Agent in Charge.

RBN:C

Cc: Chicago.

INDEXED

Acc 12/4/36  
E. R.

NOV 21 1936

62-39128-57	
FEDERAL BUREAU OF INVESTIGATION	
NOV 23 1936	
U. S. DEPARTMENT OF JUSTICE	
RECORDED	INDEXED
TWO	FILE

U.S. Federal Building  
Trenton, New Jersey

November 25, 1936

Special Agent in Charge,  
Chicago, Illinois.

Dear Sir:

A request has been made of the Trenton Field Division by Joseph P. Holland, U. S. Commissioner, Newark, New Jersey, at the instance of Honorable William J. Clark, District Court, Newark, New Jersey, for certain information concerning the alleged participation of one, Max Silverman, in arranging the bond of Al Capone at Chicago, Illinois, in the latter part of October or early November, 1931.

Max Silverman, a professional bail bondsman, allegedly flew from Newark, New Jersey, Airport to Chicago, Illinois, via United Air Lines on October 31, 1931, to make a \$50,000 bond for Al Capone at Chicago. Silverman was allegedly accompanied on this trip by one, [REDACTED] 672

You are requested to interview the proper official of the United Air Lines, Chicago, Illinois, for the purpose of obtaining the passenger list of the United Air Lines' flights from Newark, New Jersey, to Chicago, Illinois, on October 31, 1931. This list should be transmitted to the Trenton Field Division for transmittal to Judge Clark.

Very truly yours,

E. L. ROBINSON,  
Special Agent in Charge.

WD:VG  
cc: Bureau

RECORDED  
&  
INDEXED.

762-39128-58  
FEDERAL BUREAU OF INVESTIGATION

NOV 27 1936  
[Signature]

DEC 3-1936

41

December 4, 1936

EAS:DC  
62-30128-27

RECORDED

Special Agent in Charge,  
Jacksonville, Florida.

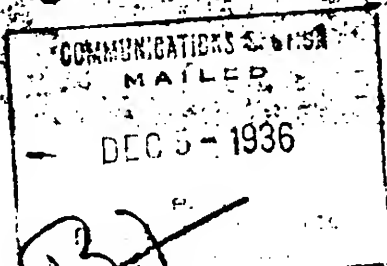
Dear Sir:

This will acknowledge receipt of your letter dated November 20, 1936, wherein you furnish information regarding the activities of [redacted] and his apparent association with the Al Capone interests at Miami Beach. b7C

It is desired that a discreet inquiry be made concerning the background and history of this individual for possible future reference and to determine whether he is engaged in any activities constituting a violation within the investigative jurisdiction of this Bureau.

Very truly yours,

John Edgar Hoover,  
Director.



RECEIVED

DEC 4 1936

DEC 4 1936

*Handwritten signature*

C

Post Office Box 512  
Chicago Illinois

W

Special Agent in Charge,  
Trenton, New Jersey

Dear Sir:

In accordance with the request contained in your letter of November 25, 1936, a list of the passengers out of Newark, New Jersey, on the United Air Lines on October 31, 1931, was copied from the auditor's stubs of the tickets purchased there.

Following is the list of names of persons purchasing tickets in Newark on October 31, 1931:

*all done*

Milwaukee, Wisconsin.  
[redacted], Hila, Hawaii.  
[redacted], Cleveland, Ohio.  
[redacted], Boston, Massachusetts.  
[redacted], Des Moines, Iowa.  
[redacted], Chicago, Illinois.  
[redacted], city not given.  
[redacted], Los Angeles, California.  
[redacted], Evanston, Illinois.  
[redacted], New York City, New York.  
[redacted], West Hollywood, California.  
[redacted], Chicago, Illinois.  
[redacted], Ottawa, Illinois.  
[redacted], Chicago, Illinois.  
[redacted], New York City, New York.

b7C

The above addresses are supposed to be the home addresses of the parties and not the destination to which they were going by airplane.

RECORDED & INDEXED

The auditor's stubs of tickets were checked for a period of five days prior and five days subsequent to October 31, 1936. The names of Max Silverman and [redacted] were not found on any of the stubs within that period of time.

62-39128-59

DEPT. OF JUSTICE

EX-107

Very truly yours,  
DEC 8 - 1936

D. M. LADD,  
Special Agent in Charge

MSB:RP  
62-2290  
cc Bureau

U.S. Federal Building  
Trenton, New Jersey

December 12, 1931.

Mr. Joseph V. Holland,  
U. S. Commissioner,  
Federal Building,  
Newark, New Jersey.

Dear Sir:

Reference is made to your recent request for certain information which you desired to obtain for the Honorable William Clark, Justice, U. S. District Court, Newark, New Jersey, regarding the passengers carried by the United Air Lines on October 31, 1931, from Newark, New Jersey, to Chicago, Illinois.

Please be advised that the Chicago Field Division of this Bureau has ascertained from the Auditor's office of the United Air Lines at Chicago, Illinois, the following list of persons who purchased tickets in Newark, New Jersey, on October 31, 1931:

Milwaukee, Wisconsin  
Winn, Hannell  
Cleveland, Ohio  
Boston, Massachusetts  
Des Moines, Iowa  
Chicago, Illinois  
[redacted] (city not given)  
Los Angeles, California  
Evanston, Illinois  
New York City, New York  
West Hollywood, California  
Chicago, Illinois  
Ottumwa, Illinois  
Chicago, Illinois  
New York City, New York

The above addresses are supposed to be the home addresses of the parties purchasing tickets and not the destinations to which they were going by airplane. You are further advised that the auditor's stubs of tickets were checked for a period of five days prior and subsequent to October 31, 1931, and the names Max Silverman and [redacted] do not appear on any of the stubs within this period of time.

Very truly yours,  
S. L. RICHMOND,  
Special Agent in Charge.

RJD:VO  
cc: Bureau  
62-

C O J

421 Federal Building  
Trouton, New Jersey

Mr. Joseph P. Holland,  
U. S. Commissioner,  
Federal Building,  
Newark, New Jersey.

Dear Sir:

Reference is made to your request for certain information which you desired to obtain regarding the passengers carried by the United Air Lines on October 31, 1931, from Newark, New Jersey, to Chicago, Illinois.

Please be advised that the Chicago Field Division of this Bureau has ascertained from the Auditor's office of the United Air Lines at Chicago, Illinois, that the following list of persons purchased tickets in Newark, New Jersey, on October 31, 1931.

67C

Milwaukee, Wisconsin  
Hilo, Hawaii  
Cleveland, Ohio  
Boston, Massachusetts  
San Rafael, Iowa  
Chicago, Illinois

(City not given)  
Los Angeles, California  
Evanston, Illinois  
New York City, New York  
West Hollywood, California  
Chicago, Illinois  
Ottawa, Illinois  
Chicago, Illinois  
New York City, New York

The above addresses are supposed to be the home addresses of the parties purchasing tickets and not the destinations to which they were going by airplane.

RECORDED & INDEXED

62-39128-61

You are further advised that the Auditor's stubs of tickets were checked for a period of five days prior and subsequent to October 31, 1931, and the names Max Silverman or [redacted] do not appear on any of the stubs within that period of time.

Very truly yours,

E. L. RICHMOND,  
Special Agent in Charge.

WJT:VO  
cc: Bureau

DEC 1 9 1931

FILE

January 3, 1937

RECORDED

67-35123-62

RECORDED

[REDACTED]  
Tulsa, Oklahoma.

Dear [REDACTED]

This will acknowledge receipt of your letter dated December 23, 1936, wherein you furnish information regarding various individuals and offer to assist this Bureau in locating them.

I desire to advise you that the jurisdiction of the Federal Bureau of Investigation, U. S. Department of Justice, is restricted to the investigation of violations of specific Federal laws. I have carefully noted the facts contained in your letter but inasmuch as they do not indicate a violation of any Federal law coming within the investigative jurisdiction of this Bureau, I regret that I am unable to be of assistance to you. However, I appreciate your courtesy in furnishing this information to me for my consideration.

I might suggest that you consider the advisability of furnishing the information in your possession regarding this matter to the local law enforcement officials in your district for any attention they deem appropriate.

Very truly yours,

John Edgar Hoover,  
Director.

cc-Oklahoma City

JAN 11 1937

RECEIVED

67-35123-62

[Handwritten signature]

FED. BU. OF INV.

Tenkawa, Okla.

12/29. 36

Department of Justice  
Wesley Sir: I heard some  
time ago. of the Chicago  
Police, looking for some  
woman who was supposed  
to have killed a woman  
that was with her husband,  
and her husband was supposed  
to have had connections  
with a Capone.

RECORDED & INDEXED  
62-39128-6  
JAN 5 1937  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE  
she was a game of chance in  
a gambling joint while here  
Arts 1/9/37 cc Okla. Ct.

and her husband was a  
dago, his name was \*Harper  
and her's \*Ruth. I don't know  
whether it could be the  
same, but if you are  
interested think I can find  
out her present location.  
I don't seem able to find  
her last name.

Respectfully b7c  
Mrs. [REDACTED]

Tenkawa, Okla.

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT **Miami, Florida.**

FILE NO. **62-1115**

REPORT MADE AT <b>Miami, Florida.</b>	DATE WHEN MADE <b>1/20/37</b>	PERIOD FOR WHICH MADE <b>12/18, 20/36 1/5/37</b>	REPORT MADE BY <b>A. T. MOORE</b>
TITLE <b>[REDACTED]</b>		CHARACTER OF CASE <b>MISCELLANEOUS</b>	
SYNOPSIS OF FACTS  <p><b>[REDACTED]</b> who on or about 11/19/36 at office of the Western Union, Miami, Fla., claimed to have paid money due on the Capone estate to prevent tax sale, apparently has no connection with the Capone interests. <b>[REDACTED]</b> resides in Miami, Fla. On 11/19/36 he received \$10 by wire from <b>[REDACTED]</b> care Boston &amp; Maine RR., Boston, Mass. Failure to receive this wire promptly caused <b>[REDACTED]</b> to make complaint to Western Union, whereby he recovered damages in amount of approximately \$7.85, and during time of making complaint mentioned Capone connection. Tax lien on Capone property paid by Ralph Capone.</p>			
<p>REFERENCE: Bureau letter dated 12/4/36.</p> <p>DETAILS: AT MIAMI, FLORIDA:</p> <p>Reference is made to Bureau letter dated December 4, 1936, wherein it is stated that a discreet inquiry is desired concerning the background and history of <b>[REDACTED]</b> for possible future reference and to determine whether he is engaged in any activities constituting a violation within the investigative</p>			
APPROVED AND FORWARDED  <i>A. J. Shivers</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN THESE SPACES	
COPIES OF THIS REPORT		62-39128-63 JAN 22 1937	
3 Bureau 1 Atlanta 1 Boston 1 Chicago 2 Miami.		COPIES DESTROYED 9 8 OCT - 6 11964 JAN 22 P.M. REC'D. SECT. 1	

EC

jurisdiction of the Bureau. It is noted that the information concerning [redacted] came to the attention of Special Agent Royal J. Untreiner at Miami, Florida, through [redacted] Western Union Telegraph Company, on November 18, 1936, this information being that [redacted] told [redacted] that he paid the money due on the Capone estate to prevent a tax sale. b7c  
b7D

[redacted] was interviewed at his office in the Western Union Telegraph Company, Miami, on December 18, 1936. He stated that he did not know [redacted] personally but that about November 18, 1936, [redacted] came to the Western Union office and sent a telegram for some money and gave a residence address, but at the same time said he would call for the answer to this wire. When the money came in it bore the residence address and was sent there and when [redacted] called for the wire no record could be found of it. [redacted] made several critical remarks about the service and was creating quite a commotion in the lobby of the Western Union office, claiming that he had to make a long distance telephone call as a result of the wire not being received and that this call cost him \$4.90. [redacted] advised that in order to settle the matter and have no argument he paid the amount of \$4.90 to [redacted] at the time and put a voucher for that amount in the file.

It was during the conversation concerning this wire that [redacted] made the statement to [redacted] that he had come down here to Miami to represent the Capone interests and that he had paid the taxes on the Capone property. At that time, evidently to account for the fact that he had to wire for money, he told [redacted] that he had been "stripped" since he got here.

[redacted] advised that another man was standing in the office at the time the complaint was registered by [redacted] and when [redacted] left [redacted] remarked to this man that [redacted] claimed to be a Capone man. This man, who was one [redacted] remarked that [redacted] was a Capone man as he knew him. The conversation with [redacted] then went on like this: [redacted]: "Are you a Government man?" [redacted] "Yep". [redacted] "Right" Duncan: "You're a good guesser." With reference to [redacted] stated that [redacted] frequently called for messages that had been sent to him General Delivery and the messages were always found. It was not known why he did not call at General Delivery for them. [redacted] communicated with Agent Untreiner with reference to [redacted] and it was during the time [redacted] was being discussed that the information was given concerning [redacted] It is noted that [redacted] is the subject of an impersonation investigation in this Field Division. b7D

██████████ further stated that ██████████ is still in Miami and has made further complaints at the office of the Western Union Company. ██████████ was called into the interview and advised that ██████████ came back shortly after the first complaint and said that the long distance call was 90¢ more than he had at first thought. This was paid and then about two weeks ago he returned and made another vigorous complaint stating that the long distance operator had made some mistake and that he had been billed for \$2.05 more. This was also paid but at this time ██████████ made ██████████ sign a statement that he had been paid in full. b7C b7D

During this inquiry ██████████ at the Western Union Office was interviewed as ██████████ made the first complaint to him. ██████████ recalls that ██████████ claimed to be a property owner in Miami. He also at that time as a manner of further identifying himself displayed a pawn ticket on some property, the kind not being known. This ticket was #1132, and the address was ██████████

A check of the records was made and under date of November 17, 1936, a money order in the amount of \$10.00 was found addressed to ██████████, Miami, Florida, the wire being from ██████████ care Boston & Maine Railroad, Boston, Mass.

The records also showed that ██████████ on November 17, 1936, also sent a wire to ██████████, collect, Jacksonville, Florida. No other address appears thereon but the records show the message was delivered by messenger in Jacksonville. This message read: "Darling would appreciate your wiring me ticket to Jacksonville via Western Union Letter received very glad you are convalescing Isle of view ██████████"

██████████ was described by ██████████ and others at the office of the Western Union as follows:

Age  
Height  
Weight  
Hair  
Eyes  
Complexion  
Dress  
Mustache  
Glasses  
Speech  
Residence

b7c

Personal observation of the address [REDACTED] revealed that it is a deep lot facing on [REDACTED], just past the corner of [REDACTED]. A small building or shed is on the front of the lot and in the rear is a two story frame building, giving the appearance of a barn having been made over, and on this building is a sign designating it as the [REDACTED]. On December 19, 1936, a Buick five passenger coupe bearing New York 1936 license [REDACTED] was observed near this building as well as an old model car, possibly a Chevrolet, bearing New Jersey 1936 license [REDACTED].

Inquiry was made at a grocery store in the immediate vicinity of the [REDACTED] place and the only available information was that [REDACTED] resided at the above address and that he was an elderly man, but it was stated that a son also lived there and that it was thought his name was [REDACTED]. The occupation of this son of the elder [REDACTED] was not known but he was frequently seen around the place.

The 1936 City Directory of Miami shows [REDACTED] and [REDACTED] as residing at [REDACTED]. This property was checked through the County Clerk's Office and found to be legally described as Lot [REDACTED], Block [REDACTED] and in 1927 it was in the name of [REDACTED] Miami, Florida. At the Tax Collector's office it was learned that the taxes now and for some years past on the address [REDACTED] legally described as above, have been paid by one [REDACTED] at this address. This information was supplied by the Chief Clerk of the Tax Collector's office, T. G. Blount. No further check was, therefore, made concerning the ownership of this property.

At the Dade County Clerk's office it was learned that the legal description of the Capone property was Lot 8, Block 1, Palm Island. The records show that this property was transferred from the grantor, Parker Henderson, to the grantee, Mae Capone, on July 18, 1928. Mr. Blount, of the Tax Collector's office, located the tax records on this property, which revealed that Mae Capone has paid taxes on this property every year and that only the current year's taxes have not been paid. No one has ever paid the taxes on this property as far as Mr. Blount could recall except Mae Capone.

[REDACTED]

IRS  
26 U.S.C.  
6105

IRS

[REDACTED]

Inasmuch as [REDACTED] at the Western Union Company had advised that [REDACTED] in identifying himself had presented a pawn ticket, \$11.32 from the address [REDACTED], inquiry was made at Silvers, Inc., pawn shop located at that address. [REDACTED] located the record of the above ticket which was issued to one [REDACTED] Miami, for a suit of clothes. This ticket was issued November 16, 1936, and the suit of clothes was redeemed on November 20, 1936. It is noted that this is during the period [REDACTED] was having the difficulty with the Western Union Company. b7c b7D

At 63 NE 6th Street, which is the Batchelor Hotel, [REDACTED] thereof advised that [REDACTED], formerly stayed there but left about a month ago. A book record was located showing the address to which [REDACTED] went from this hotel as [REDACTED] Savannah, Georgia.

Inquiry was made at the Identification Bureau, Miami Police Department, of Lieutenant Barker, who advised after a search of the records that there was no criminal record of [REDACTED] in the local police files.

In view of the information set out herein it is not believed that further inquiries concerning [REDACTED] are warranted, and the case is being closed on authority of Special Agent in Charge R. L. Shivers.

CLOSED

5.



Dear Sir:

In view of the fact that no reply has been received to this letter, this case is being considered closed by this Division.

*Benjamin*

AGB: JGM  
62-2696

*Memo for:*  
*The Civil Code*  
*Director*

*J. H. K.*  
*Belmont*  
*2-25-37*  
*LW*

RECORDED

62-39128-64  
FEB 4 1937  
TWA  
NEW YORK

HR:RCL:GDW  
62-39128

March 5, 1937.

MEMORANDUM FOR THE DIRECTOR,  
BUREAU OF PRISONS.

Reference is made to the memorandum of Mr. Sanford Bates, former Director of the Bureau of Prisons, dated October 19, 1935, with reference to the manuscript purporting to be the story of Al Capone's life in the United States Penitentiary at Atlanta.

Evidence obtained as a result of investigation into the authorship of this story indicates that it was written by Frank Joseph Guinan, alias Frank Martin, United States Penitentiary (Atlanta) #42907.

Guinan was born in Maryland on December 19, 1900, and was arrested in Roanoke, Virginia, in company with Carl Crawford, and sentenced on January 4, 1933 to serve a sentence of three years in the United States Penitentiary, Atlanta, Georgia, on the charge of forging a Post Office money order. He was paroled on July 3, 1934 and was declared a parole violator on August 19, 1935, being returned to the penitentiary as a parole violator on September 6, 1935, to serve 349 days.

Very truly yours,  
For the Director,

Harold Nathan,  
Assistant Director.

Mr. Nathan .....  
Mr. Tolson .....  
Mr. Baughman .....  
Mr. Clegg .....  
Mr. Coffey .....  
Mr. Dewey .....  
Mr. Egan .....  
Mr. Foxworth .....  
Mr. Glavin .....  
Mr. Harbo .....  
Mr. Joseph .....  
Mr. Lester .....  
Mr. Nichols .....  
Mr. Quinn .....  
Mr. Schilder .....  
Mr. Tamm .....  
Mr. Tracy .....  
Miss Gandy .....

COMMUNICATIONS SECTION  
MAILED

MAR 5 - 1937

F. B. I.  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

RECORDED

INDEXED

62-39128-65  
FEDERAL BUREAU OF INVESTIGATION

MAR 6 1937

March 5, 1937.

**MEMORANDUM FOR THE ASSISTANT TO THE ATTORNEY GENERAL,  
MR. JOSEPH B. KEENE.**

Reference is made to the memorandum of the former Assistant to the Attorney General, Mr. William Stanley, dated June 24, 1935, with reference to a photostatic copy of a story entitled "Al Capone's Life in the Atlanta Penitentiary".

Evidence obtained as a result of investigation into the authorship of this story indicates that it was written by Frank Joseph Quinn, alias Frank Martin, United States Penitentiary (Atlanta) #42507.

Quinn was born in Maryland on December 19, 1900, and was arrested in Roanoke, Virginia, in company with Carl Crawford, and sentenced on January 4, 1933 to serve a sentence of three years in the United States Penitentiary, Atlanta, Georgia, on the charge of forging a Post Office money order. He was paroled on July 3, 1934 and was declared a parole violator on August 19, 1935, and was returned to the penitentiary as a parole violator on September 6, 1935 to serve 549 days.

Very truly yours,  
For the Director,

Harold Nathan,  
Assistant Director.

Mr. Nathan .....  
Mr. Tolson .....  
Mr. Baughman .....  
Mr. Clegg .....  
Mr. Coffey .....  
Mr. Dawsey .....  
Mr. Egan .....  
Mr. Foxworth .....  
Mr. Glavin .....  
Mr. Harbo .....  
Mr. Joseph .....  
Mr. Lester .....  
Mr. Nichols .....  
Mr. Quinn .....  
Mr. Schilder .....  
Mr. Tamm .....  
Mr. Tracy .....  
Miss Gandy .....

COMMUNICATIONS SECTION  
MAILED

MAR 5 - 1937

F. B. I.  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

RECORDED  
&  
INDEXED

62-39128-66  
FEDERAL BUREAU OF INVESTIGATION  
MAR 6 1937 P. M.  
U. S. DEPARTMENT OF JUSTICE

Federal Bureau of Investigation  
United States Department of Justice  
Washington, D. C.

LCS:ps

March 9, 1937.

Mr. Nathan	.....
Mr. Tolson	.....
Mr. Baughman	.....
Mr. Clegg	.....
Mr. Coffey	.....
Mr. Dewey	.....
Mr. Egan	.....
Mr. Foxworth	.....
Mr. Glavin	.....
Mr. Harbo	.....
Mr. Joseph	.....
Mr. Lester	.....
Mr. Nichols	.....
Mr. Quinn	.....
Mr. Schilder	.....
Mr. Tamm	.....
Mr. Tracy	.....
Miss Gandy	.....

MEMORANDUM FOR MR. NATHAN

Mr. Samuel C. Sacco of the War Department, Identity Section, called here today to make an inquiry whether our files revealed that the fingerprints of Al Capone had ever been searched against the War Department records. An examination of the fingerprints failed to show this had been done.

Mr. Sacco stated that an official inquiry had been received relative to the foregoing, and requested to borrow a copy of our fingerprints to have them searched against the War Department files. I furnished him with a photographic copy.

I have a faint recollection that this question came up before, although information relative thereto is not shown in our files here. I think Capone's fingerprints were checked in the War Department and no previous record was found.

Mr. Sacco stated that he believes the inquiry pending in the War Department may have some connection with the request to try to get a parole for Capone, through the assistance of proved military service.

Respectfully,

L. C. Schilder

RECORDED

62-39128-67

NATHAN

2 FOURNE

RECORDED

62-59128-62  
HJG:MK

September 17, 1937

MEMORANDUM FOR THE DIRECTOR, BUREAU OF PRISONS

This is to acknowledge receipt of your memorandum dated September 8, 1937, transmitting copies of correspondence forwarded to your office by Warden Johnston of Alcatraz Penitentiary relative to an attempt on the part of one [redacted] to have Al Capone retain him for the purpose of securing his freedom. BOP

Please be advised that this information is being retained in the files of this Bureau, and will also be furnished the Charlotte, North Carolina office.

Thanking you for your assistance in this matter, I am

Very truly yours,  
For the Director,

Harold Nathan,  
Assistant Director.

cc-Charlotte, with copies of correspondence mentioned above

Mr. Nathan .....  
Mr. Tolson .....  
Mr. Baughman .....  
Mr. Clegg .....  
Mr. Coffey .....  
Mr. Crowl .....  
Mr. Egan .....  
Mr. Foxworth .....  
Mr. Glavin .....  
Mr. Harbo .....  
Mr. Hottel .....  
Mr. Lester .....  
Mr. McIntire .....  
Mr. Naughten .....  
Mr. Nichols .....  
Mr. Pennington .....  
Mr. Schilder .....  
Mr. Tamm .....  
Mr. Tracy .....  
Miss Gandy .....

COMMUNICATIONS SECTION  
MAILED

SEP 17 1937

P. M.

FEDERAL BUREAU OF INVESTIGATION,  
U. S. DEPARTMENT OF JUSTICE

with

JAMES V. BENNETT  
DIRECTOR

DEPARTMENT OF JUSTICE  
BUREAU OF PRISONS  
WASHINGTON

September 8, 1937

MEMORANDUM FOR THE DIRECTOR,  
FEDERAL BUREAU OF INVESTIGATION.

Mr. Nathan .....
Mr. Tolson .....
Mr. Baughman .....
Mr. Clegg .....
Mr. Coffey .....
Mr. Crowl .....
Mr. Egan .....
Mr. Foxworth .....
Mr. Glavin .....
Mr. Harbo .....
Mr. Hottel .....
Mr. Lester .....
Mr. McIntire .....
Mr. Naughten .....
Mr. Nichols .....
Mr. Pennington .....
Mr. Schilder .....
Mr. Tamm .....
Mr. Tracy .....
Miss Gandy .....

For your information I am  
enclosing copies of correspondence  
forwarded to us by Warden Johnston of  
the Alcatraz Penitentiary in the case  
of Al Capone.

*[Signature]*  
JAMES V. BENNETT,  
Director.

*Continuous ack advising  
Bureau monitoring info  
its files and send  
Chaplin a copy of  
its information for*

*11, 1937*

*ENC*

*B*

*H*

*X*

*W*

*2*

RECORDED  
&  
INDEXED

*ad, EOG 9-17-37 cc Chas. J.*

62-39128-68

SEP 11 1937

*[Signature]*

TAMM

TWO

FILE

(COPY)

August 23, 1937

BOP]

Dear Sir:

When inmates are received at this institution, they are advised about the correspondence regulations and in accordance therewith they list the names of the relatives with whom they desire to correspond.

As correspondence is permitted only with their relatives on the list, your letter cannot be delivered and therefore, in accordance with your request, is here returned.

Sincerely,

J. A. Johnston  
Warden

62-39128-68

COPY

To the Warden of Alcatraz,

[REDACTED]

BOP

Yours truly,

[REDACTED]

[REDACTED]

XXXXXX  
XXXXXX  
XXXXXX

**FEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET**

\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Document(s) originating with the following government agency(ies) \_\_\_\_\_, was/were forwarded to them for direct response to you.

\_\_\_\_\_ Page(s) referred for consultation to the following government agency(ies); \_\_\_\_\_ as the information originated with them. You will be advised of availability upon return of the material to the FBI.

  1   Page(s) withheld for the following reason(s):

  p. 10.5  

☐ For your information: \_\_\_\_\_

☐ The following number is to be used for reference regarding these pages:

  62-39128-68  

XXXXXX  
XXXXXX  
XXXXXX
 XXXXXXXXXXXXXXXXXXXX  
 X DELETED PAGE(S) X  
 X NO DUPLICATION FEE X  
 X FOR THIS PAGE X  
 XXXXXXXXXXXXXXXXXXXX

LAREDO, TEXAS

Sep. 13, 1937.

A Statement.-- subject Al Copone.

There is an ex-naval officer of I think [redacted] who built the Assembly Plant for the Ford Motor Co. in Germany. I do not know his name but you can easily find him this way on the books of the Ford Motor Co.

This engineer is a very fine man.

This engineer had a lodge up on the make and in a storm was washed to the lodge where Al Copone was. The latter had sparkling burgandy wine in cases stacked up to the ceiling. This engineer had some long talks with Al Copone.

Maybe if your department want any more on Al, maybe this engineer can give it to you.

I and this engineer had some long talks and he is a very fine man, and maybe he knows something that your department wants to know.

Please excuse me from butting in.

Your truly,

[redacted]  
Laredo, Texas,

to  
Hon. Edward Hoover, Director of U.S. Investigation.

RECORDED  
&  
INDEXED.

62-39128-69

SEP 16 1937 A.M.

U. S. DEPARTMENT OF JUSTICE

FWO

9/27/36  
ack cc g  
Eg  
Antonio

RECORDED

EJG:TD  
62-59123- 89

September 25, 1937

[REDACTED]  
Laredo, Texas,

Dear [REDACTED]

This is to acknowledge receipt of your letter dated September 13, 1937, in which you stated that an ex-marshal officer might be in a position to furnish this Bureau with information concerning Al Capone.

Please be advised that I appreciate your forwarding this information and assure you that it will be placed in the files of this Bureau for future reference.

Very truly yours,  
For the Director,

Harold Nathan,  
Assistant Director.

cc San Antonio



RECORDED

KJG:RD  
62-39128-70

October 18, 1937

[REDACTED] b7c  
Roosevelt Hotel,  
Pittsburgh, Pennsylvania.

Dear [REDACTED]

This is to acknowledge receipt of your letter dated October 11, 1937, in which you state you have information concerning a person in hiding at 2110 North High Street, Columbus, Ohio.

Please be advised that I am instructing Mr. E. J. Sutrainer, Special Agent in Charge, Federal Bureau of Investigation, United States Department of Justice, 620 New Federal Building, Pittsburgh, Pennsylvania to have an Agent from his office contact you in the immediate future for the purpose of ascertaining if the information in your possession is pertinent to any violation within the investigative jurisdiction of this Bureau.

Very truly yours,

John Edgar Hoover,  
Director.

CO-Pittsburgh  
(copies of [REDACTED] letter attached) b7c

COMMUNICATIONS SECTION  
MAILED

OCT 18 1937

P. M.  
FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE

20

W

Mr. Hoover - 1936 10-15-37

RECORDED & INDEXED

10-2-39128-70

FEDERAL BUREAU OF INVESTIGATION U.S. DEPARTMENT OF JUSTICE OCT 12 1937 P.M. RECEIVED	
MAIL ROOM	RECORDS SECTION

210 West High St  
Columbus Ohio. I would  
have 100 days as dishonor  
+ 7 year check. It should have  
a thorough investigation. There is  
nothing rotten there.  
Very cordially yours

RETURN POSTAGE GUARANTEED

512 FARMERS BANK BUILDING  
PITTSBURGH, PA.

Sec. 562, P. L. & R.

THE PITTSBURGH SYMPHONY SOCIETY

Receivd Hotel Pittsburgh

**14 THURSDAY EVENINGS AT SYRIA MOSQUE  
14 FRIDAY AFTERNOONS AT CARNEGIE MUSIC HALL**

Olin Downes will appear on all afternoon concerts except Friday, January 28th, when Dr. Walter Damrosch, guest conductor, will make his own comments. The afternoon concerts begin at 3:30.

**DATES . . . CONDUCTORS . . . SOLOISTS**

October 21-22	Otto Klemperer, <i>Conductor</i>
October 28-29	Otto Klemperer, <i>Conductor</i> Josef Hofmann, <i>Piano Soloist</i>
November 4-5	Otto Klemperer, <i>Conductor</i> Soloist to be announced
November 11-12	Carlos Chavez, <i>Conductor</i>
November 18-19	Carlos Chavez, <i>Conductor</i> Chaliapin, <i>Bass-Baritone Soloist</i>
November 25-26	Carlos Chavez, <i>Conductor</i> Michel Gusikoff, <i>Violin Soloist</i>
December 2-3	Michel Gusikoff, <i>Conductor</i> Rachmaninoff, <i>Piano Soloist</i>
December 30-31	Eugene Goossens, <i>Conductor</i> Alexander Schuster, <i>Cello Soloist</i>
January 6-7	Eugene Goossens, <i>Conductor</i> Dusolina Giannini, <i>Soprano Soloist</i>
January 13-14	Fritz Reiner, <i>Conductor</i> Nino Martini, <i>Tenor Soloist</i>
January 20-21	Fritz Reiner, <i>Conductor</i> Nathan Milstein, <i>Violin Soloist</i>
January 27-28	Walter Damrosch, <i>Conductor</i>
February 3-4	Georges Enesco, <i>Conductor</i>
February 10-11	Georges Enesco, <i>Conductor</i> Soloist to be announced

**CONVENIENT PAYMENT PLAN**

A monthly payment plan is provided for those who wish a convenient method of payment. A down payment of 20% should accompany the application blank, and on the first of each of the following 4 months beginning November 1st, a 20% payment is required.

FEDERAL BUREAU

OF INVESTIGATION

1937.

<input type="checkbox"/> Director	<input checked="" type="checkbox"/> Files Section
<input type="checkbox"/> Mr. Nathan	<input type="checkbox"/> Mechanical Section
<input type="checkbox"/> Mr. Tolson	<input type="checkbox"/> Chief Clerk's Office
<input type="checkbox"/> Mr. Tamm	<input type="checkbox"/> Identification Division
<input type="checkbox"/> Mr. Foxworth	<input type="checkbox"/> Statistical Section
<input type="checkbox"/> Mr. Egan	<input type="checkbox"/> Technical Laboratory

SUPERVISORS

<input type="checkbox"/> Mr. Brandt	<input type="checkbox"/> Mr. Lawler	<input type="checkbox"/> Mr. Rosen
<input type="checkbox"/> Mr. Chambers	<input type="checkbox"/> Mr. Leckie	<input type="checkbox"/> Mr. Scott
<input type="checkbox"/> Mr. Collier	<input type="checkbox"/> Mr. Mertz	<input type="checkbox"/> Mr. Vogel
<input type="checkbox"/> Mr. Cornelius	<input type="checkbox"/> Mr. McIntire	<input type="checkbox"/> Mr. Spear
<input type="checkbox"/> Mr. Drayton	<input type="checkbox"/> Mr. Norstrom	<input type="checkbox"/> M
<input type="checkbox"/> Mr. Gebben	<input type="checkbox"/> Mr. Pennington	

\* \* \*

<input type="checkbox"/> Mr. Ward	<input type="checkbox"/> Re-write
<input type="checkbox"/> Mr. Parsons	<input type="checkbox"/> Re-date
<input type="checkbox"/> Stenographers 5730	<input type="checkbox"/> Send file
<input type="checkbox"/> Typists 5724	<input type="checkbox"/> Note and return
<input type="checkbox"/> Correct	<input type="checkbox"/> Search, serialize and return.

*File*

*Gebben*  
SUPERVISOR

68

JOHN EDGAR HOOVER  
DIRECTOR

**Federal Bureau of Investigation  
United States Department of Justice  
Washington, D. C.**

October 18, 1937

[REDACTED] b7c  
Roosevelt Hotel,  
Pittsburgh, Pennsylvania.

Dear [REDACTED]

This is to acknowledge receipt of your letter dated October 11, 1937, in which you state you have information concerning a person in hiding at 2110 North High Street, Columbus, Ohio.

Please be advised that I am instructing Mr. R. J. Untreiner, Special Agent in Charge, Federal Bureau of Investigation, United States Department of Justice, 620 New Federal Building, Pittsburgh, Pennsylvania to have an Agent from his office contact you in the immediate future for the purpose of ascertaining if the information in your possession is pertinent to any violation within the investigative jurisdiction of this Bureau.

Very truly yours,

*J. E. Hoover*  
John Edgar Hoover,  
Director.

*Eg H: PD*  
*62-39128-70*

*61*

FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE  
WASHINGTON, D. C.  
OFFICIAL BUSINESS

PENALTY FOR PRIVATE USE TO AVOID  
PAYMENT OF POSTAGE-0000



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ROOSEVELT HOTEL

3/12

Post Office Department  
OFFICE OF INVESTIGATION  
WASHINGTON, D. C.

COLLECT & CARRY  
ON PAYMENT

*John Edgar Hoover  
Federal Bureau of Investigation  
2400 Bond of Justice  
Washington D. C.*



QUALITY POSTAGE USE TO AVOID  
PAYMENT OF PENALTY

**Federal Bureau of Investigation**  
**United States Department of Justice**  
Post Office Box Number 1525  
Pittsburgh, Pennsylvania

November 10, 1937

Director  
Federal Bureau of Investigation  
Washington, D. C.

Dear Sir:

Reference is made to your letter dated October 18, 1937, to [REDACTED] Roosevelt Hotel, Pittsburgh, Pennsylvania, copy of which was received by this office. (Bureau file 62-39128) b7c

With the Pittsburgh copy of the letter was a copy of a letter written by the above named individual to the Director dated October 11, 1937, in which he stated that he had information that one of the Capone Gang was hiding at 2110 North High Street, Columbus, Ohio.

An unsuccessful attempt was made to locate [REDACTED] at the Roosevelt Hotel, by Special Agent H. H. Hair at which time the following information was obtained from [REDACTED] of the Roosevelt Hotel, Pittsburgh. b7D

[REDACTED] is not and never was employed by the Roosevelt Hotel, nor was he ever a guest there. However, during the past three or four months and up to about two weeks ago, he has received forty or fifty letters addressed to him in care of the Hotel, all of these letters being from various Government Agencies.

At the time [REDACTED] was interviewed, the Hotel was holding two letters addressed to [REDACTED] from the Interstate Commerce Commission and the Works Progress Administration. [REDACTED] advised that [REDACTED] was a very poorly dressed individual of about fifty years of age and became such a

RECORDED  
&  
INDEXED.

62-39128-71
NOV 11 1937
FWO

COPIES DESTROYED

9 8 001-6 11904

nuisance to the employees of the Hotel that he was asked to have his mail sent to him in care of General Delivery.

[REDACTED] stated that approximately two weeks ago [REDACTED] came into the Hotel and created quite a disturbance by making a speech to the portrait of Theodore Roosevelt hanging in the lobby. At that time the house detective removed him from the Hotel and told him to stay out. b7C b7D

[REDACTED] has not been seen at the Hotel since that occasion.

It was [REDACTED]'s opinion that [REDACTED] was mentally unbalanced.

Very truly yours,

*R. J. Untreiner*  
R. J. UNTREINER  
Special Agent in Charge

HEH:LBV  
62-0

JAMES V. BENNETT  
DIRECTOR

DEPARTMENT OF JUSTICE  
BUREAU OF PRISONS  
WASHINGTON

November 18, 1937.

MEMORANDUM FOR THE DIRECTOR,  
FEDERAL BUREAU OF INVESTIGATION.

I am enclosing for your files and  
information a copy of a letter and check  
recently received at the Alcatraz Penitentiary  
and addressed to Al Capone.

Director

Mr. Nathan .....  
Mr. Tolson .....  
Mr. Baughman .....  
Mr. Clegg .....  
Mr. Coffey .....  
Mr. Crowl .....  
Mr. Egan .....  
Mr. Foxworth .....  
Mr. Glavin .....  
Mr. Harbo .....  
Mr. Hottel .....  
Mr. Lester .....  
Mr. McIntire .....  
Mr. Nangsten .....  
Mr. Nichols .....  
Mr. Pennington .....  
Mr. Schilder .....  
Mr. Tamm .....  
Mr. Tracy .....  
Miss Gandy .....

This sounds a little  
screwy. H.

RECORDED  
&  
INDEXED,

62-39128-72	
FEDERAL BUREAU OF INVESTIGATION	
NOV 26 1937 P.M.	
U. S. DEPARTMENT OF JUSTICE	
TAMM	FILE

XXXXXX  
XXXXXX  
XXXXXX

**FEDERAL BUREAU OF INVESTIGATION  
FOIPA DELETED PAGE INFORMATION SHEET**

\_\_\_\_\_ Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

- ☐ Deleted under exemption(s) \_\_\_\_\_ with no segregable material available for release to you.
- ☐ Information pertained only to a third party with no reference to you or the subject of your request.
- ☐ Information pertained only to a third party. Your name is listed in the title only.
- ☐ Document(s) originating with the following government agency(ies) \_\_\_\_\_, was/were forwarded to them for direct response to you.

\_\_\_\_\_ Page(s) referred for consultation to the following government agency(ies); \_\_\_\_\_ as the information originated with them. You will be advised of availability upon return of the material to the FBI.

4 Page(s) withheld for the following reason(s):

per BOP

☐ For your information: \_\_\_\_\_

☒ The following number is to be used for reference regarding these pages:

62-37128-72

XXXXXX  
XXXXXX  
XXXXXX
 XXXXXXXXXXXXXXXXXXXX  
 X DELETED PAGE(S) X  
 X NO DUPLICATION FEE X  
 X FOR THIS PAGE X  
 XXXXXXXXXXXXXXXXXXXX

RECORDED

62-39128-74  
EJG:RD

December 1, 1937

ag  
+  
Special Agent in Charge,  
El Paso, Texas.

Dear Sir:

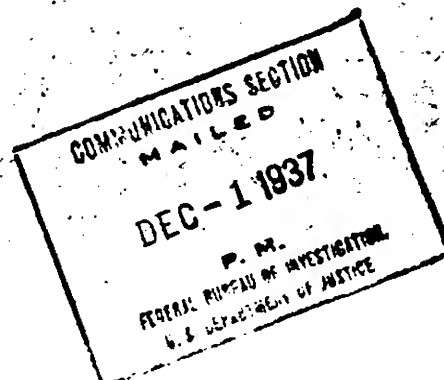
The Bureau is in receipt of a Western Union Telegram dated November 23, 1937 at Midland, Texas and signed by [REDACTED] which reads as follows: b7c

"Please wire full status Al Capones terms".

It is desired that when you next have an Agent of your office in the vicinity of Midland, Texas he interview [REDACTED] and ascertain the purpose which he had in mind when he addressed his telegram to the Bureau.

Very truly yours,

John Edgar Hoover,  
Director.



OF DIRECTOR  
U OF INVESTIGATION  
UNITED STATES DEPARTMENT OF JUSTICE

Record of Telephone Call or Visitor. ✓

Nov. 24 1937.

Time 2:25 p.m.

Name [REDACTED] tele.

Referred to

Details:

Mr. Coffey \_\_\_\_\_  
Mr. Crowl \_\_\_\_\_  
Mr. Egan \_\_\_\_\_  
Mr. Foxworth \_\_\_\_\_  
Mr. Glavin \_\_\_\_\_  
Mr. Harbo \_\_\_\_\_  
Mr. Hottel \_\_\_\_\_  
Mr. Kiehn \_\_\_\_\_  
Mr. Lester \_\_\_\_\_  
Mr. McIntire \_\_\_\_\_  
Mr. Naughton \_\_\_\_\_  
Mr. Nichols \_\_\_\_\_  
Mr. Pennington \_\_\_\_\_  
Mr. Schilder \_\_\_\_\_  
Mr. Tamm \_\_\_\_\_  
Mr. Tracy \_\_\_\_\_  
Miss Gandy \_\_\_\_\_

Stated that he is a law student here in the city and would like to know something about the record of Al Capone, especially as to when he was sentenced to prison, the length of his term and when he will be released. The writer advised that this information is only given out to duly constituted law enforcement agencies. [REDACTED] asked if this information could be secured from the Bureau of Prisons and the writer replied that he did not know. gan

RECORDED  
&  
INDEXED

62-39128-73  
FEDERAL BUREAU OF INVESTIGATION  
NOV 26 1937 P.M.  
U. S. DEPARTMENT OF JUSTICE  
TOLSON TWO 4/2 FILE

Mr. Tolson ✓  
 Mr. Clegg ✓  
 Mr. Glavin ✓  
 Mr. Ladd ✓  
 Mr. Nichols ✓  
 Mr. Rosen ✓  
 Mr. Tracy ✓  
 Mr. Carson ✓  
 Mr. Egan ✓  
 Mr. Gurnea ✓  
 Mr. Hendon ✓  
 Mr. Jones ✓  
 Mr. Quinn ✓  
 Mr. Nease ✓  
 Mr. Pennington ✓  
 Mr. Starnes ✓  
 Mr. Tamm ✓  
 Mr. Egan ✓  
 Miss Gandy ✓

WESTERN UNION

NOV 23 1937

WL13 7

MIDLAND TEX NOV 23 201P

EDWARD J HOOVER

FBI JUSTICE

PLEASE WIRE FULL STATUS AL CAPONES TERMS

*[Redacted]*

b7c

331PM

*Gettle*  
*872*

Copy to Mr. Tamm

*no record*

RECORDED  
 &  
 INDEXED

62-39128-74	
FEDERAL BUREAU OF INVESTIGATION	
DEC 3 1937 A.M.	
U.S. DEPT. OF JUSTICE	
TOLSON	FILE

December 2, 1937  
Manchester, Georgia

Federal Bureau of Investigation  
U. S. Department of Justice  
Washington, D. C.

Dear Sirs:

Will you please send me all the  
information you can of Alcapone? Any  
information will be accepted thankfully.

Thank you

b7c

Manchester, Ga.

ack  
12-8-37  
JG

RECORDED  
&  
INDEXED

62-39128-75	
DEC 6 1937	P. M.
RES. DIV.	FWO

JHM:BN  
62-39128-7,

RECORDED

December 8, 1937.

[REDACTED]  
Manchester, Georgia.

Dear [REDACTED]

Your letter of December 2, 1937, has been received, in which you request information concerning Al Capone.

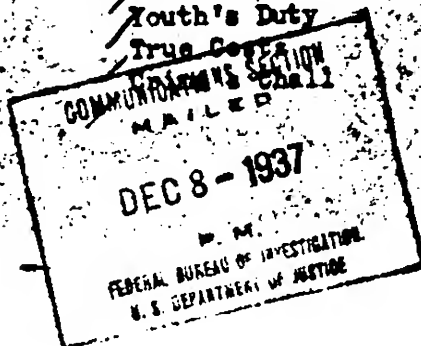
I regret that I do not have available for general distribution the information you desire. However, as of possible interest to you I am enclosing copies of this Bureau's publications dealing with our activities which I thought you might care to read.

Sincerely yours,

John Edgar Hoover,  
Director.

Enclosure.

FBI  
Sel and Trng of Pers  
Crim Ident  
Youth and Crime  
Youth's Duty  
True Cognition



YBhm

JOHN EDGAR HOOVER  
DIRECTOR

Federal Bureau of Investigation  
United States Department of Justice  
Washington, D. C.

EAT:MC

January 18, 1938.

Time: 2:05 P.M.

MEMORANDUM FOR THE FILE

Mr. Quinn of the Department called and asked if the Director is en route to Chicago by plane, inasmuch as Mr. Keenan is very anxious to talk to him relative to individuals of the Al Capone gang who Assistant United States Attorney Klein, Southern District of New York, desires to interview.

Mr. Quinn was advised that the Director is on a plane and that while the ultimate destination is Chicago, the weather is very bad throughout the Middle West and it may be necessary for the plane to make several stops. Mr. Quinn said he will so inform Mr. Keenan. I also told him that John Morrio is a lieutenant of Al Capone and none of the individuals referred to were ever subjects of investigation by this Bureau.

Mr. Quinn later called and said that Mr. Keenan is very anxious to talk to Mr. Hoover and his secretary will be able to reach him at any time the Director might call the Bureau tonight. He was advised the Director would be notified.

E. A. TAMM

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&  
INDEXED.

62-39128-76

RECEIVED	HEAD OF INVESTIGATION
JAN 20 1938 P.M.	
U.S. DEPT. OF JUSTICE	
FILES	TAMM